

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1037/November 13, 2013

ADMINISTRATIVE PROCEEDING  
File No. 3-15574

In the Matter of

HARDING ADVISORY LLC AND  
WING F. CHAU

ORDER SCHEDULING  
PREHEARING CONFERENCE

The Securities and Exchange Commission (Commission) issued an Order Instituting Administrative Proceedings (OIP) on October 18, 2013, pursuant to Section 8A of the Securities Act of 1933, Sections 203(e), 203(f), and 203(k) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940. The hearing is currently scheduled for November 18, 2013.

On November 6, 2013, the Division of Enforcement (Division) submitted a letter requesting that I convert the hearing into a prehearing conference, and representing that Respondents join in the request. The Division proposes discussing the prehearing schedule and the location of the hearing. The parties are advised that I am currently unavailable to start the hearing prior to mid-March 2014. Additionally, although Respondents' counsel have filed notices of appearance, the parties should be prepared to discuss the date of service of the OIP, which is not clear from the Commission's files.

For good cause shown, it is ORDERED that the hearing scheduled for November 18, 2013, is POSTPONED sine die and a telephonic prehearing conference shall be held on Monday, November 18, 2013, at 9:30 a.m. EST.

---

Cameron Elliot  
Administrative Law Judge